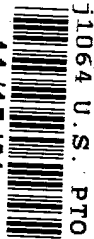


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12-05-01

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November 15, 2001

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PATENTS, TRADEMARKS, COPYRIGHTS  
AND RELATED MATTERS.

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STUART N. SENNIGER  
(1921-1997)



**UTILITY PATENT APPLICATION TRANSMITTAL**  
(new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket Number: SGM 6938.1  
First Named Inventor: Ward  
Title: RECOMBINANT DNA PROCESSES USING A DNTP MIXTURE  
CONTAINING MODIFIED NUCLEOTIDES  
Express Mail Label Number: EL 732090094 US

TO: Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

**APPLICATION ELEMENTS**

1. ☒ Fee Transmittal Form  
(original and duplicate)
2. ☐ Applicant claims small entity status
3. ☒ Specification [Total Pages 88]
4. ☒ Drawings [Total Sheets 20]
5. Oath or Declaration [Total Pages    ]
  - a. ☐ Newly executed (original or copy)  
☐ New (unexecuted)
  - b. ☐ Copy from a prior application  
(for continuation/divisional with  
Box 19 completed)
    - i. ☐ DELETION OF INVENTOR(s)  
Signed statement attached  
deleting inventor(s) named  
in prior application.

6. ☐ Incorporation By Reference  
(useable if Box 5b is marked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☒ Application Data Sheet
8. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
9. ☒ Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)
- a. ☒ Computer Readable Form
  - b. ☒ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☒ paper
  - c. ☒ Statements verifying identity of above copies

**ACCOMPANYING APPLICATION PARTS**

10. ☐ Assignment Papers (cover sheet & document(s))
11. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
12. ☐ English Translation Document (if applicable)
13. ☐ IDS with PTO-1449 ☐ Copies of IDS Citations
14. ☐ Preliminary Amendment
15. ☒ Return Receipt Postcard
16. ☐ Request and Certification for Non-Publication. Form PTO/SB/35 is attached.
17. ☐ Certified Copy of Priority Document(s) if foreign priority is claimed
18. ☐ Other: \_\_\_\_\_

**IF A CONTINUING APPLICATION, CHECK APPROPRIATE  
BOXES AND SUPPLY THE REQUISITE INFORMATION**

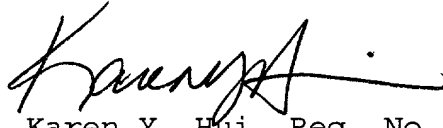
19. ☐ Continuation ☐ Divisional ☐ Continuation-in-Part  
of prior application No.: \_\_\_\_\_
- ☒ Complete Application based on provisional Application  
No. 60/325,612 filed September 28, 2001

Prior application information: Examiner:  
Group Art Unit:

CORRESPONDENCE ADDRESS

20. Correspondence Address: Customer Number 321  
Attention: Karen Y. Hui

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Karen Y. Hui', with a stylized flourish at the end.

Karen Y. Hui, Reg. No. 44,785

KYH/lam

**FEE TRANSMITTAL**

Application Number  
Filing Date  
Confirmation No.  
Inventor(s) Ward et al.  
Group Art Unit  
Examiner Name  
Attorney Docket Number SGM 6938.1

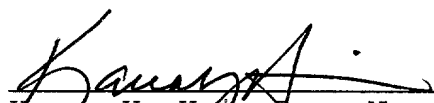
**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge the indicated fees to Deposit Account No. 19-1345.
- ☒ The Commissioner is hereby authorized to charge any additional fees required under 37 CFR 1.16 and 1.17 to Deposit Account No. 19-1345.
- ☐ Applicant claims small entity status.
2. ☐ Check Enclosed. The Commissioner is hereby authorized to charge any under payment or credit any over payment to Deposit Account No. 19-1345.

**FEE CALCULATION**

1. ☒ BASIC FILING FEE Subtotal (1) \$ 740.00  
(Type: Utility)
2. ☒ EXTRA CLAIM FEES Subtotal (2) \$ 2,904.00
- Total Claims 158  
Independent Claims 8  
Multiple Dependent Claims \_\_\_\_\_
3. ☐ ADDITIONAL FEES Subtotal (3) \$ \_\_\_\_\_
- ☐ Surcharge - late filing fee or oath  
☐ Surcharge - late provisional filing fee or cover sheet  
☐ Extension for reply within \_\_\_\_\_ month  
☐ Notice of Appeal  
☐ Filing a Brief in Support of an appeal  
☐ Request for ex parte Reexamination  
☐ Petitions to the Commissioner  
☐ Submission of Information Disclosure Statement  
☐ Recording each patent assignment per property  
☐ Request for Continued Examination  
☐ Other: \_\_\_\_\_

**TOTAL AMOUNT OF PAYMENT** \$ 3,644.00

  
Karen Y. Hui, Reg. No. 44,785

11/15/2001  
Date

KYH/lam

Express Mail Label No. EL 732090094 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of Ward, et al.

Art Unit: Unassigned

Serial No.: Unassigned

Filed: November 15, 2001

For: RECOMBINANT DNA PROCESSES USING A dNTP MIXTURE CONTAINING  
MODIFIED NUCLEOTIDES

Examiner: Unknown

November 15, 2001



STATEMENT UNDER 37 C.F.R. 1.821(e)

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

Sir:

In accordance with 37 C.F.R. 1.821(e), I hereby state that the information recorded in computer readable form is identical to the written sequence listing submitted in support of the present application.

Respectfully submitted,

A handwritten signature in cursive script that reads "Kelley Bastunas".

Kelley S. Bastunas, Paralegal  
SENNIGER, POWERS, LEAVITT & ROEDEL  
One Metropolitan Square, 16th Floor  
St. Louis, MO 63102  
(314) 231-5400

10/002292